

#4

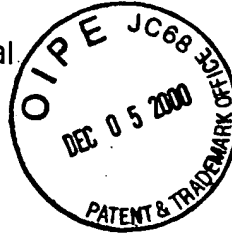
1163-0271P

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HASEGAWA, YURI et al

Application No.: 09/530,136

Filed: APRIL 27, 2000

For: IMAGE CODING APPARATUS, IMAGE CODING METHOD, IMAGE  
DECODING APPARATUS & IMAGE MAKING

Group:

Examiner:

RECEIVED  
JAN 23 2001  
2739  
Technology Center 2600  
Unassigned

REQUEST FOR A CORRECTED OFFICIAL FILING RECEIPT

Honorable Commissioner of Patents  
and Trademark  
Washington, D.C. 20231

DEC - 5 2000

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23 FEB 2001

Sir:

Legal Staff  
International Division

Attached hereto is the Official Filing Receipt in connection with the above-  
identified application.

THE FOLLOWING CORRECTION (S) ARE RESPECTFULLY REQUESTED:**Applicants:**

Change From: "HOHTARO ASAI"

To: -- KOHTARO ASAI --

**Independent Claims:**

Change From: "1"

To: -- 4 --

It is respectfully requested that the United States Patent and Trademark  
Office forward a new Filing Receipt showing the correction(s) to the undersigned  
attorney as listed on the enclosed photocopy of our executed Declaration and  
Transmittal letter.

If necessary, the commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By: 

Michael K. Mutter  
Reg. No.: 29,680

P.O. Box 747  
Falls Church, VA 22040-0747

Enclosures: Executed Assignment (copy)  
Official Filing Receipt (copy)

MKM/am  
(703) 205-8000

## FILING RECEIPT



\*OC000000005240117\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

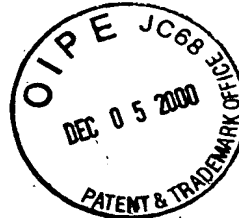
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/530,136	04/27/2000 /	2739	918	1163-0271P	41	19	4

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JAN 23 2001

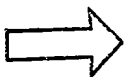
Technology Center 2600

Date Mailed: 07/13/2000

2292  
BIRCH STEWART KOLASCH & BIRCH  
P O BOX 747  
FALLS CHURCH, VA 22040-0747

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)



YURI HASEGAWA, TOKYO, JAPAN;  
SHUNICHI SEKIGUCHI, TOKYO, JAPAN;  
SHINICHI KURODA, TOKYO, JAPAN;  
K-HOHTARO ASAI, TOKYO, JAPAN;  
HIROFUMI NISHIKAWA, TOKYO, JAPAN;  
YOSHIMI ISU, TOKYO, JAPAN;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/JP98/04815 10/23/1998 /

## Foreign Applications

JAPAN 9-293940 10/27/1997 /  
JAPAN 10-54694 03/06/1998 /

If Required, Foreign Filing License Granted 07/13/2000

JUL 17 2000

## Title

IMAGE ENCODING DEVICE, IMAGE ENCODING METHOD, IMAGE DECODING DEVICE AND  
IMAGE DECODING METHOD

## Preliminary Class

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371.

1163-0271P

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

NEW

INTERNATIONAL APPLICATION NO.  
PCT/JP98/04815INTERNATIONAL FILING DATE  
23 October 1998PRIORITY DATE CLAIMED  
27 October 1997

## TITLE OF INVENTION

IMAGE CODING APPARATUS, IMAGE CODING METHOD, IMAGE DECODING APPARATUS AND IMAGE DECODING METHOD

## APPLICANT(S) FOR DO/EO/US

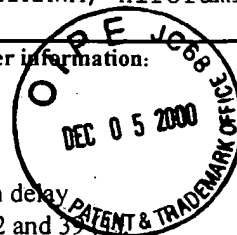
HASEGAWA, Yuri; SEKIGUCHI, Shunichi; KURODA, Shinichi; ASAI, Kohtaro; NISHIKAWA, Hirofumi;  
and ISU, Yoshimi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98./International Search Report with cited references
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:  
Forty-one (41) Sheets of Formal Drawings



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PCT/JP98/04815

1163-0271P

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO. .... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. .... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4). .... \$670.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4). .... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	19 - 20 =	0	X \$18.00	\$	0
Independent Claims	4 - 3 =	1	X \$78.00	\$	78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) NONE			+ \$260.00	\$	0
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	918.00
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$	918.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	918.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
<b>TOTAL FEES ENCLOSED =</b>				\$	958.00
				Amount to be: refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 958.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account. No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 02-2448.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292  
P.O. Box 747  
Falls Church, VA 22040-0747  
(703)205-8000

SIGNATURE

MUTTER, MICHAEL K.  
NAME

#29,680 (MKM)  
REGISTRATION NUMBER